

03-07-06

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail**
**Mail Stop ISSUE FEE**  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
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**INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

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26990 7590 12/12/2005

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

**DAVID B. WALLER & ASSOCIATES**  
 5677 OBERLIN DRIVE  
 SUITE 214  
 SAN DIEGO, CA 92121

03/08/2006 SDENB0B0E 0000003 10721035

 01 FC:2501 700.00 OP  
 02 FC:1504 300.00 OP

**Certificate of Mailing or Transmission**  
 I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

DAVID B. WALLER	(Depositor's name)
<i>DAVID B. WALLER</i>	(Signature)
3/6/06	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/721,035	11/21/2003	Helen T. Pham	PHA.001.P	5030

TITLE OF INVENTION: HEAD AND NECK SUN SHIELD FOR A RECLINING SUNBATHER

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$300	\$1000	03/13/2006
EXAMINER	ART UNIT	CLASS-SUBCLASS			
CANFIELD, ROBERT	3635	135-124000			

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).  
 Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
 "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list  
 (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

 1 DAVID B. WALLER  
 ASSOCIATES

 2         
 3       

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent):  Individual  Corporation or other private group entity  Government

## 4a. The following fee(s) are enclosed:

Issue Fee  
 Publication Fee (No small entity discount permitted)  
 Advance Order - # of Copies \_\_\_\_\_

## 4b. Payment of Fee(s):

A check in the amount of the fee(s) is enclosed.  
 Payment by credit card. Form PTO-2038 is attached.  
 The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number \_\_\_\_\_ (enclose an extra copy of this form).

## 5. Change in Entity Status (from status indicated above)

a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.  
 b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature DAVID B. WALLERDate 3/6/06Typed or printed name DAVID B. WALLERRegistration No. 43978

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Docket No.: PHA.001.P  
Express Mail No.:EV393144657US

ISSUE FEE PAYMENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Helen T. Pham

Applicant No.: 10/721,035

Filed: 21 November 2003

Title: "A HEAD AND NECK SHIELD FOR A RECLINING SUNBATHER"

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Mail Stop: Issue Fee  
Commissioner for Patents  
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Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that the enclosures listed below are being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Certificate No.: EV393144657US, on 6 March 2006, addressed to: Mail Stop: Issue Fee, Commissioner for Patents, U.S.P.T.O. Box 1450 Alexandria, VA 22313-1450.

Respectfully submitted,

  
\_\_\_\_\_  
David B. Waller  
Patent Agent No.: 43,978

Enclosures:

Fee Transmittal sheet, 1 page  
Transmittal + Duplicate, 2 pages  
Return Postcard  
Check No.: 1622 for \$1,000.00



Docket No.: PHA.001.P  
Express Mail No.:EV393144657US

ISSUE FEE PAYMENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Helen T. Pham

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Title: "A HEAD AND NECK SHIELD FOR A RECLINING SUNBATHER"

Mail Stop: Issue Fee  
Commissioner for Patents  
U.S.P.T.O.  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL FORM

Dear Sir:

Transmitted herewith for filing is the patent application entitled listed above from Inventor Helen T. Pham. Enclosed are:

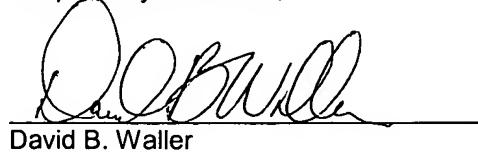
- Issue Fee Transmittal sheet, 1 page
- A regular patent application specification and 14 claims, 36 pages
- Sheets of formal drawings, 2 pages
- A Declaration, 2 pages
- An Assignment
- A verified statement to establish small entity status under 37 C.F.R §1.9 and 37 C.F.R §1.27
- The additional claims fee has been calculated as shown below.
- Certificate of Mailing by "Express Mail"
- Information Disclosure Statement
- A return post card

For	No. Filed	No. Extra	Rate	Fee
Dependent Claims		0	0x \$9.00 =	\$ 0.00
Independent Claims		0	0x \$43.00 =	\$ 0.00
Multiple Dependent Claims		0	0x \$135.00 =	\$ 0.00
Assignment Fee			\$40.00	\$ 0.00
Issue Fee			\$1,000.00	\$1,000.00
<b>TOTAL FEE:</b>				<b>\$1,000.00</b>

- Please charge Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_. A duplicate copy of this sheet is enclosed.

- The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. \_\_\_\_\_
- Any additional filing fees required under 37 C.F.R §1.16
- Any patent application processing fees under 37 C.F.R §1.17
- A check for the filing fee in the amount of one thousand dollars (\$1,000.00) is enclosed, Check No.: 1622.

Respectfully submitted,



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David B. Waller

Please send all correspondence to:

David B. Waller  
5677 Oberlin Drive, Suite 214  
San Diego, CA 92121  
Phone: (858) 457-2014  
Facsimile: (858) 457-2803  
E-mail: [dbwipmg@sbcglobal.net](mailto:dbwipmg@sbcglobal.net)